



Guidelines Issued by Jordan Securities Commission (JSC)

Supplementary to the terms and conditions of clients dealing in foreign exchanges

Pursuant to Article (16/2) of the *Instructions Regulating the Dealings of Financial Service Providers in Foreign Exchanges* in effect

Dear investor,

These guidelines aim to familiarize you with dealing in foreign exchanges and associated risks. Therefore, make sure you read the following items carefully:

1. The legal basis regulating your dealings through financial services providers in foreign stock exchanges is the *Law Regulating the Dealing in Foreign Exchanges*, as well as the *Instructions Regulating the Dealings of Financial Services Providers in Foreign Stock Exchanges* approved by Board of Commissioners' Resolution No. (290/2019) dated 11/27/2019; both are available on JSC's website (www.jsc.go.jo). Please review them.
2. You must ensure that the company you wish to deal with has obtained a license from the JSC, as well as an approval to engage in licensed financial service activities.
3. The relationship between you and the company is governed under an agreement (the **Trading Terms and Conditions**), so you must read its terms and appendices and make sure that you understand all its terms before signing it.

4. Make sure that you get a copy of the terms and conditions Trading Terms and Conditions and any appendices.
5. Check all commissions and fees that will be collected from you for your transactions, provided that they are valid under the Trading Terms and Conditions and any appendices.
6. Ensure that your sale and purchase orders are executed promptly.
7. Ensure that you are fully aware of the company's procedures for withdrawals and deposits, and verify their implementation on a timely basis.
8. Before dealing in foreign stock exchanges, you should determine the investment policy that you wish to deal with.
9. You must check the mechanism of registering the purchase ownership; the instructions referred to in clause (1) above allow local brokers to register assets in their name for the benefit of their clients by virtue of a so-called aggregate account, which may expose you to risks, including the imposition of attachment or mortgage on the account.
10. You should know that you may face various risks when dealing in foreign stock exchanges, including for example:
 - risks of offshore broker bankruptcy
 - exchange rate risks
 - market-specific political and legislative risks through which dealings are made
 - slippage risks
11. Take as much care as possible to ensure that your positions are closed before the end of the last trading day of the week in order to avoid the risk of price slippage.

12. Do not invest money that you may need during the investment period as it is at risk of loss.
13. Use leverage trading wisely as leverage is a double-edged sword. It gives you an opportunity for double profit, however, it may expose you to double loss.
14. Ensure that your account is funded on timely basis in order to avoid closing your positions automatically when you fall below the margin percentage indicated in the Trading Terms and Conditions and any appendices.
15. Verify the company's policy regarding spreads, as set out in Trading Terms and Conditions and any appendices.

Acknowledgment and Undertaking Concerning the Agreement and the Guidelines

With reference to the trading terms and conditions signed between me and Equiti Group Limited (Jordan), I hereby acknowledge that I have read *Instructions Regulating the Dealings of Financial Services Providers in Foreign Stock Exchanges* as well as all provisions of the above-mentioned terms and conditions along with their appendices, and the guidelines issued by the JSC. Further, I confirm that I have obtained a copy of these documents. I also undertake to abide by the applicable instructions and any amendments that may be made thereto by the JSC Board of Commissioners and to regularize my status accordingly.